

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Herbert BRUNNER et al.

Serial No.: 10/574,021

Filed: March 23, 2006

For: High-Efficiency LED-Based Illumination System  
with Improved Color Rendering

Examiner: WALFORD, Natalie K.

Group Art: 2879

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT (IDS)**

S I R:

The subject patent application is related to U.S. Application No. 10/574,026 filed March 23, 2006 ("related application").

In compliance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with the practice under 37 C.F.R. §§1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO/SB/08a. These documents were made of records in the related application. Copies of the listed non-U.S. patent documents are enclosed.

Applicants hereby inform the USPTO of the following four Office Actions (copies enclosed for the Examiner's convenient reference) issued in the related application:

Office Action dated April 2, 2008 (15 pages);

Office Action dated December 9, 2008 (14 pages);

Office Action dated October 27, 2009 (11 pages); and

Office Action dated May 20, 2010 (19 pages).

Also enclosed is an English translation of a Chinese Office Action dated July 11, 2008 issued for Chinese Patent Application No. 200480034723.8 (9 pages), which is the Chinese counterpart of the present application.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

No fees or charges are required at this time in connection with this IDS as this IDS is being submitted together with a Request for Continued Examination. However, if any fees or charges are required at this time, they may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN PONTANI LIEBERMAN & PAVANE LLP

By /Thomas Langer/  
Thomas Langer  
Reg. No. 27,264  
551 Fifth Avenue, Suite 1210  
New York, New York 10176  
(212) 687-2770

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